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PATENT ELMORE, STEPHEN 2185 TES PATENT AND TRADEMARK OFFICE

(Case No. 97,713)

In the Application of:

Coley et al.

08/595,597 Serial No.

Filed: February 6, 1996

Firewall System For Protecting Network For:

Elements Connected To A Public Network

Examiner: Stephen Elmore

Group Art Unit: 2413

TRANSMITTAL LETTER

Asst. Commissioner for Patents Washington, D.C. 20231

Dear Sir:

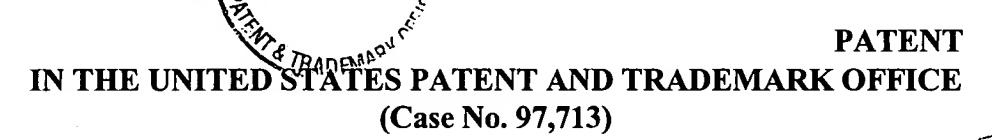
In regard to the above identified application,

- We are transmitting herewith the attached:
 - Information Disclosure Statement; a)
 - **b**) PTO Form 1449 and cited references;
 - Return postcard c)
- With respect to fees: 2.
 - No fees are required a)
 - Please charge any underpayment or credit any overpayment our Deposit Account, No. b) 13-2490.
- 3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage Express Mail in an envelope addressed to the Asst. Commissioner for Patents, Box DD, Washington, D.C. 20231 on February 3, 1998.

Respectfully submitted,

03 February 1998 Date:

Registration No. P41,865



| In the Application of: |) | |
|--|--------|--------------------------|
| Coley et al. |) | Examiner: Stephen Elmore |
| Serial No. 08/595,597 |) | Group Art Unit: 2413 |
| Filed: February 6, 1996 |) | |
| For: Firewall System For Protecting Network Elements Connected To A Public Network |)) | |

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Copies of the references cited below are enclosed. These references are also listed on the enclosed PTO Form 1449.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

Applicants do not believe any fee is due with this submission. If this belief be in error and the Patent Office determines that the fee prescribed in the relevant portion of 37 C.F.R. Section 1.97 is applicable, the undersigned attorney by his signature hereby authorizes any such fee to be debited from Deposit Account 13-2490.

Pursuant to 37 C.F.R. Section 1.97(e) the undersigned certifies that no item of information contained in this information disclosure statement was cited in a communication

from a foreign patent office in a counterpart foreign application, or, to the undersigned's knowledge after making reasonable inquiry, was any such information known to any individual designated in 37 C.F.R. Section 1.56(c) more than three months prior to the filing of this information disclosure statement.

U.S. PATENT DOCUMENTS

- 1. Periman et al., U.S. Patent No. 5,687,235, issued November 1997
- 2. Falk et al., U.S. Patent No. 5,668,876, issued September 1997
- 3. Yu, U.S. Patent No. 5,636,371, issued June 1997
- 4. Krajewski et al., U.S. Patent No. 5,590,199, issued December 1996
- 5. Linehan et al., U.S. Patent No. 5,495,533, issued February 1996
- 6. Kaufnman et al., U.S. Patent No. 5,491,752, issued February 1996
- 7. Kung, U.S. Patent No. 5,241,594, issued August 1993

OTHER DOCUMENTS

- 8. Kiuchi et al., (June 1996) "C-HTTP TEH Development of a Secure, Closed HTTP Based Network On the Internet.", *Proceedings of SNDSS, IEEE*, pp. 64-75
- 9. Neuman, (1993) "Proxy Based Authorization And Accounting For Distributed Systems." *IEEE*, pp. 283-291

Respectfully submitted,
McDonnell Boehnen
Hulbert & Berghoff

Date: 03 February 1998

Robert J. Livine Reg. No. P41,865

By: